

FOREIGN ASSET HOLDINGS OUTSIDE FOREIGN FINANCIAL ACCOUNTS

This questionnaire is meant to capture reportable non-U.S. assets held outside a non-U.S. financial account. Only tick "yes" if the asset is not *in an account listed on the form labelled "Financial Account Information Summary for FinCEN Form 114 and Form 8938"*. Although the FinCEN Form 114 (FBAR) covers only financial accounts, Form 8938 requires disclosure of assets outside financial accounts.

During the year(s) listed above, I owned the following non-U.S. asset(s):	Response		
Shares of a non-US private corporation (private = one not traded on a stock exchange)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
A partnership interest in a foreign partnership	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
Note, bond, debenture, or other form of indebtedness owing to me/us from a non-resident of the US (individual, corporation or government)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
Mortgage owed to me/us by a non-U.S. person due to the sale of real estate (I/we took back a mortgage)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
Non-U.S. investments (stocks, bonds, mutual funds) <i>not held in a financial account</i> (for example, share certificates in a safety deposit box)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
Precious metals or precious metal certificates held outside of the U.S. (in account or safety deposit box)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
An interest in a foreign pension plan due to employment (not including CPP, OAS, RRSPs, RRIFs)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
An interest in a non-U.S. deferred compensation/deferred profit sharing plan	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
Swaps, options, derivatives and other types of financial instruments (non-U.S.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
Shares or options to buy shares of the stock of your employer (e.g. stock options, RSUs)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure
An interest in a trust or estate created or managed outside of the US	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not sure

If you answered yes to any of the questions above, you should provide additional information here:

Do you have a life insurance policy issued by a Canadian or other non-U.S. insurer?

If yes, this life insurance policy must be disclosed on your FBAR **if it has a cash surrender value** (that is, it has an investment component so that if you cancelled the contract you would get money back). Insurance that doesn't have an investment component is called "term insurance".

If you had non-term, non-U.S. insurance during the year, list the policy #, the life insurance company and the cash surrender value here:
